# Eigenvector continuation in nuclear shell-model calculations

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# 1. Introduction

The nuclear shell-model calculation is one of the most powerful tools to discuss various nuclear structures microscopically. In a traditional shell-model framework such as [1, 2], the parameters of the shell-model Hamiltonian are obtained employing the effective-interaction theory and these values are corrected phenomenologically so that the shell-model results well reproduce the experimental binding and excitation energies. For the analysis of the uncertainty caused by this correction [3, 4], we have to perform many shell-model calculations repeatedly by changing these parameters slightly. In such a situation, the eigenvectorcontinuation (EC) technique [5] is expected to shorten the computation time of these calculations. In this report, we introduce the EC to nuclear shell-model calculations and discuss its performance. This report is condensed from Ref. [6].

### 2. Theoretical Framework

The nuclear shell-model Hamiltonian is defined as

$$H(c) = \sum_{i} e_i c_i^{\dagger} c_i + \sum_{i < j, k < l} v_{ijkl} c_i^{\dagger} c_j^{\dagger} c_l c_k \tag{1}$$

where  $c_i^{\dagger}$  is a creation operator of the single-particle state *i* in the model space. The  $e_i$  and  $v_{ijkl}$  are parameters, which are determined so that the eigenvalue agrees with the experimental value keeping rotational and isospin symmetries. *c* denotes a set of the parameters to define  $e_i$  and  $v_{ijkl}$ . The eigenenergy E(c) and the eigenvector  $|\phi(c)\rangle$  are given by solving the eigenvalue problem

$$H(c)|\phi(c)\rangle = E(c)|\phi(c)\rangle, \tag{2}$$

by means of the Lanczos method. However, the dimension of the Hamiltonian matrix is often huge [7], which would prevent us from solving the eigenvalue problem many times by changing the parameters.

Here, we introduce the EC technique to the shell-model calculations to estimate the eigenenergies and related physical observables without performing the diagonalization for each different interaction. In the preparation stage of the EC method, we prepare a set of sample interactions, H(s), which are given randomly. We solve the eigenvalue problems of these sample interactions and obtain the sample eigenvectors  $|\phi_s\rangle$  as

$$H(s)|\phi_s\rangle = E_s|\phi_s\rangle. \tag{3}$$

By using these prepared eigenvectors, the eigenvalue of a target Hamiltonian H(t) is estimated without solving its eigenvalue problem as follows. The eigenvector of H(t) is approximated by solving the generalized eigenvalue problem in the subspace spanned by the sample vectors. It is obtained by

$$\sum_{s'=1}^{N_s} \tilde{H}_{ss'} \tilde{v}_{s'} = \tilde{E}_t \sum_{s'=1}^{N_s} \tilde{N}_{ss'} \tilde{v}_{s'}, \qquad (4)$$

with

$$\widetilde{H}_{ss'} = \langle \phi_s | H(\mathbf{t}) | \phi_{\mathbf{s}'} \rangle$$

$$\widetilde{N}_{ss'} = \langle \phi_s | \phi_{\mathbf{s}'} \rangle,$$
(5)

where  $\tilde{E}_t$  is the estimated value of the exact eigenvalue of H(t). The dimension of this generalized eigenvalue problem, namely the number of samples  $N_s$ , is far smaller than the original eigenvalue problem in Eq. (3). The eigenvector of H(t) is also approximated by a linear combination of the sample eigenvectors with the coefficients  $\tilde{v}_s$  as

$$|\phi_t\rangle \sim |\tilde{\phi}_t\rangle = \sum_s \tilde{v}_s |\phi_s\rangle,$$
 (6)

which is used to estimate other physical quantities.

To perform shell-model calculations and the EC estimation efficiently, one of the authors developed a new shell model code "ShellModel.jl", which is written in the Julia language and is publicly available [8].

#### 3. Benchmark Results

Here we present a benchmark result of the EC estimation in shell-model calculations. We take two *sd*-shell nuclei,  $^{28}$ Si and  $^{25}$ Mg, with the *sd*-shell model space as examples. In this case, the number of parameters for the shell-model Hamiltonian is 66. The *M*-scheme dimension is 93,710 for  $^{28}$ Si and 44,133 for  $^{25}$ Mg.

As a first example, we take the yrast  $0^+$ ,  $1^+$ ,  $2^+$ , and  $3^+$  energies of <sup>28</sup>Si. We prepare 250 sample interactions generated by the sum of the USDB interaction [1] and random numbers with the 1-MeV standard deviation. For validation, we prepare 100 target interactions in the same way and estimate the energies by the EC method employing the sampling results. Figure 1 shows the EC estimated energies against the exact ones of the yrast  $J = 0^+$ ,  $1^+$ ,  $2^+$ ,  $3^+$ , and  $4^+$  states of <sup>28</sup>Si. They agree quite well and its typical error is less than 1%. Note again that the EC estimate requires little additional computations.

As an example of odd nuclei, the energies of  $J = 1/2^+, 3/2^+, 5/2^+, 7/2^+$ , and  $9/2^+$  states of  ${}^{25}Mg$  are estimated by the EC method with the same 100 target interactions. Figure 2 shows the EC estimated energies agree quite well with the exact one similarly to Fig. 1.



Figure 1. EC estimated energies of the  $J = 0^+, 1^+, 2^+, 3^+$ , and  $4^+$  states of <sup>28</sup>Si against the exact ones. The EC estimation is performed for the 100 different interactions with employing 250 samples. The dotted line shows the ideal agreement. Taken from Ref. [6].



Figure 2. EC estimated energies of the  $J = 1/2^+, 3/2^+, 5/2^+, 7/2^+$ , and  $9/2^+$  states of  ${}^{25}Mg$  against the exact ones. See the caption of Fig. 1 for details. Taken from Ref. [6].

## 4. Summary

We introduce the EC method to nuclear shell-model calculations and investigate its performance. We demonstrated that the EC estimated energies well reproduce the exact eigenenergies for sd-shell nuclei.

The accuracy of the EC estimation concerning quadrupole and magnetic moments and excitation energies is further discussed in Ref. [6]. Moreover, the approximated wave function given by the EC method can be used as an initial vector of the Lanczos iterations, which shortens the number of the iterations [6].

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